



# Future Clinical Uses of OCT for Neurodegenerative Diseases

Bang V Bui

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Financial disclosure: N/A

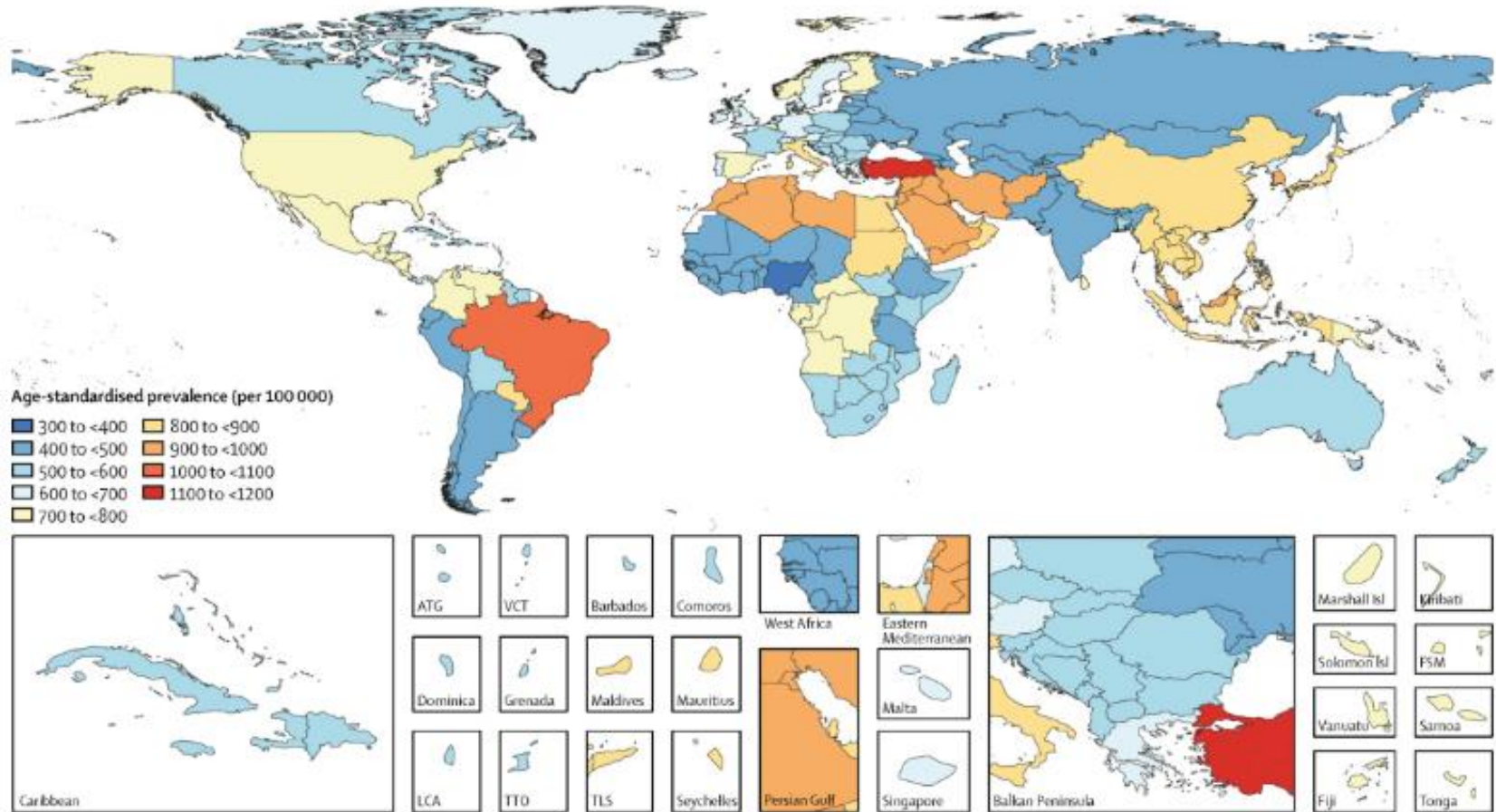


- Dementia
- How the eye might be involved?
- What OCT changes are expected?
- Where things are headed?



- growth in life expectancy increased prevalence of chronic diseases, such as Alzheimer's disease (AD)
- 50 million people in the world living with dementia, 10 mill new each year
- major causes of disability and loss of independence
  - second largest contributor to total number of years living with disability (YLD)
- wide physical, psychological, social, and economic impact on individual, families, carers and health care system

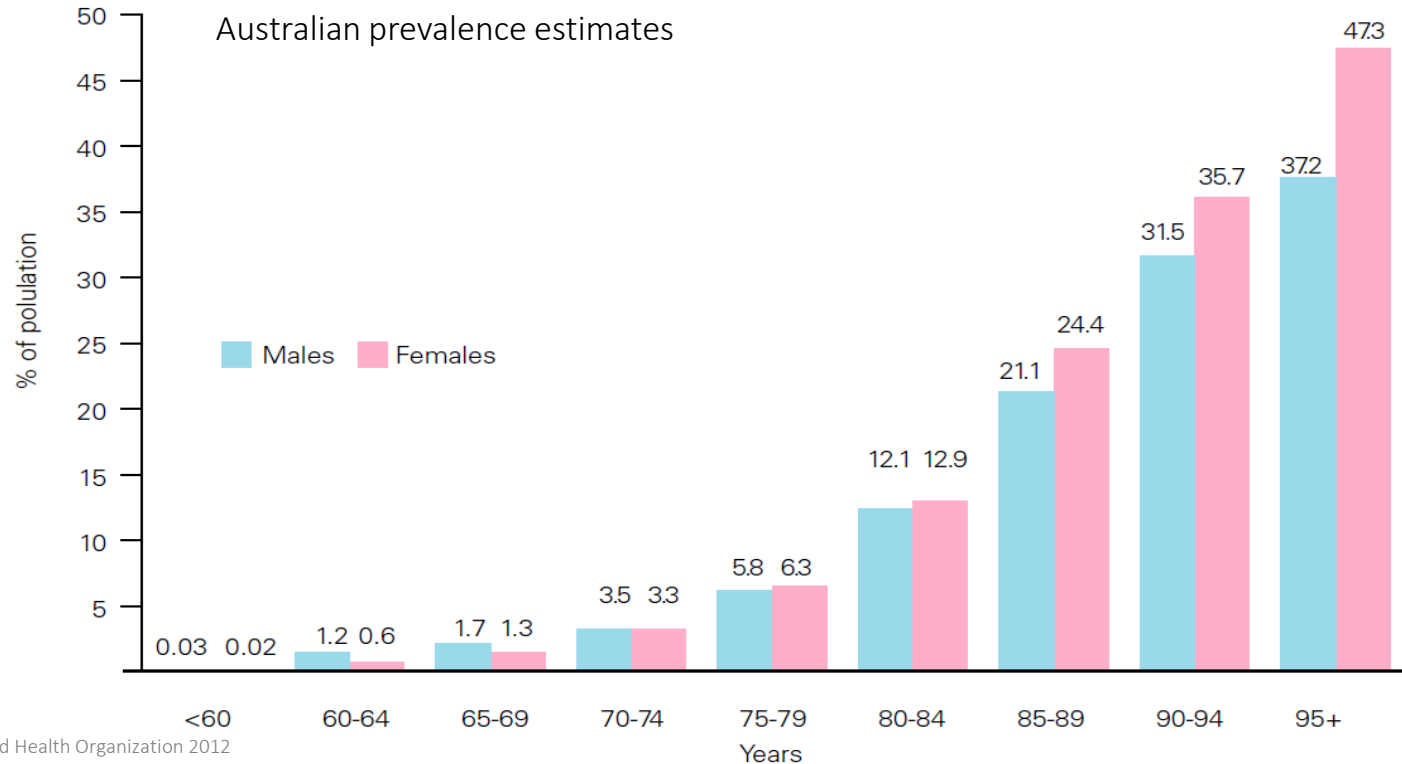
Alzheimer's disease is the most common form of dementia (60–70% of cases)



# Alzheimer's disease



- Affects about 1 in 5 at age 85<sup>1</sup>

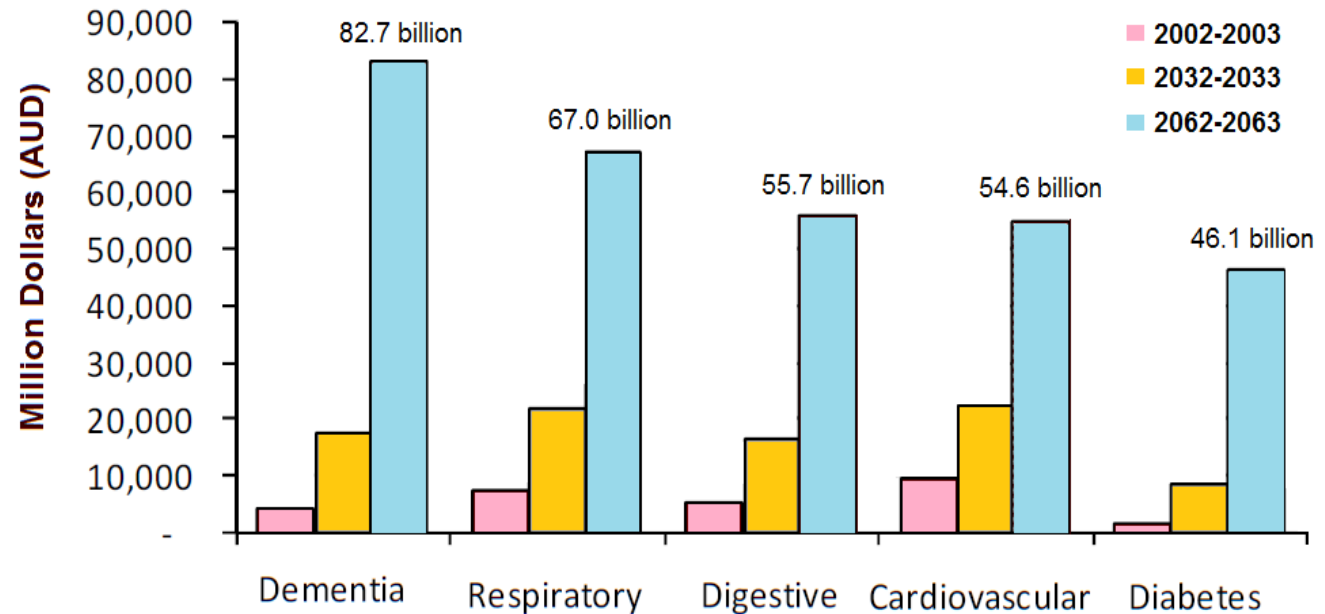


# Alzheimer's disease



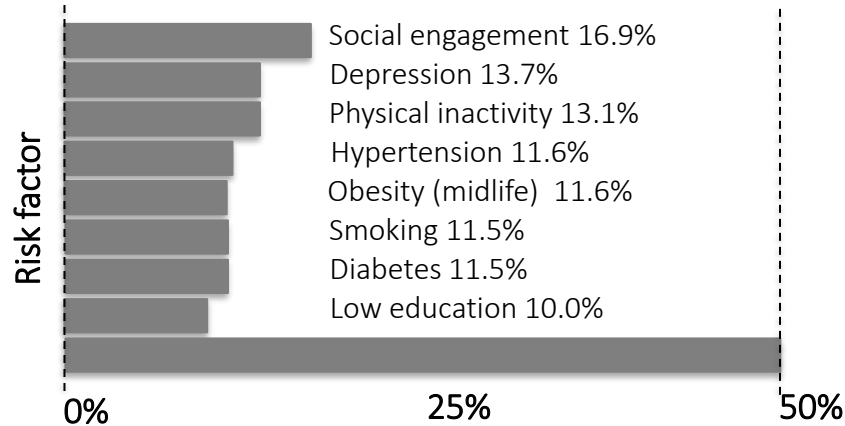
- huge economic impact

Top 5 most expensive diseases in Australia 2060



1. World Health Organization 2012
2. Table data, Access Economics 2009

# Multifactorial risk factors



## Metabolic factors

Diabetes  
Vit D B12 deficiency  
Hypertension  
Folate deficiency  
obesity



## Lifestyle

Smoking  
Low education  
Depression  
Sedentary lifestyle  
Lack of social engagement  
Psychological stress  
Mental inactivity



## Genetic factors

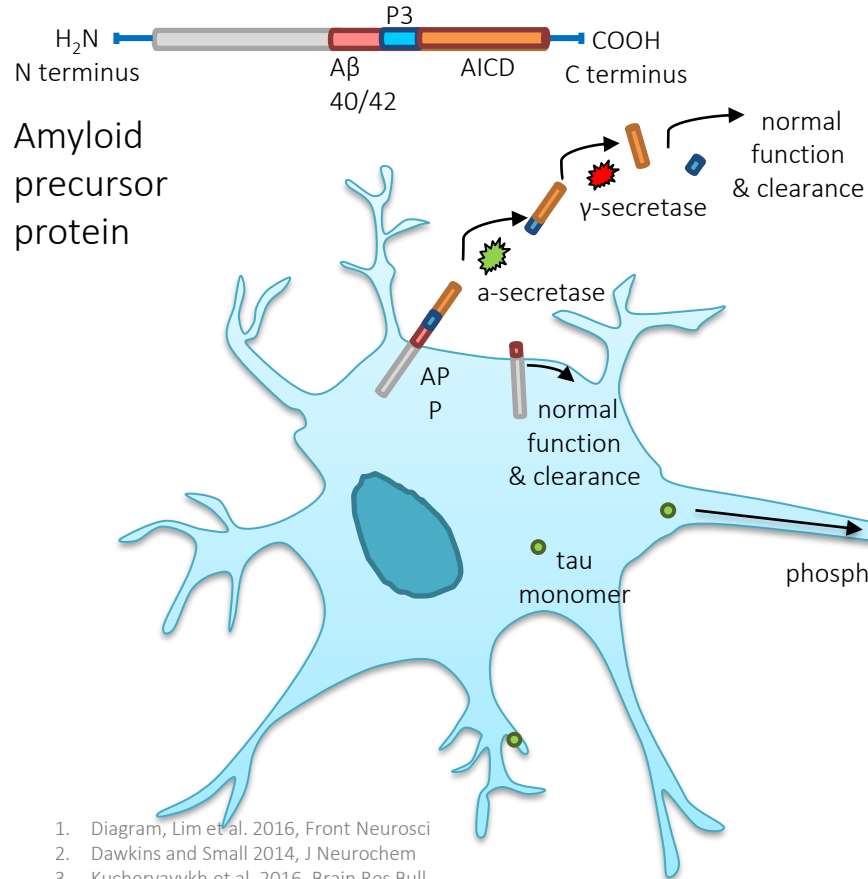
Amyloid precursor protein  
Apolipoprotein E – e4  
Age



## Miscellaneous

Head injury  
Aluminum toxicity

# Amyloid Precursor Protein and Tau in health



- Amyloid in picomolar amounts
  - In neurons needed for
    - synaptic formation & repair<sup>2</sup>
    - Maintenance of neurons and their synapses
    - Anti-apoptosis
  - Vasculature
    - component of blood platelet
    - Important in vascular wound repair<sup>3</sup>

- Tau function
  - Neural microtubule stability<sup>4</sup>
  - Phosphorylation is normal and necessary for interaction with tubulin to form MTs

1. Diagram, Lim et al. 2016, Front Neurosci
2. Dawkins and Small 2014, J Neurochem
3. Kucheryavykh et al. 2016, Brain Res Bull
4. Johnson and Stoothoff 2004, J. Cell Sci

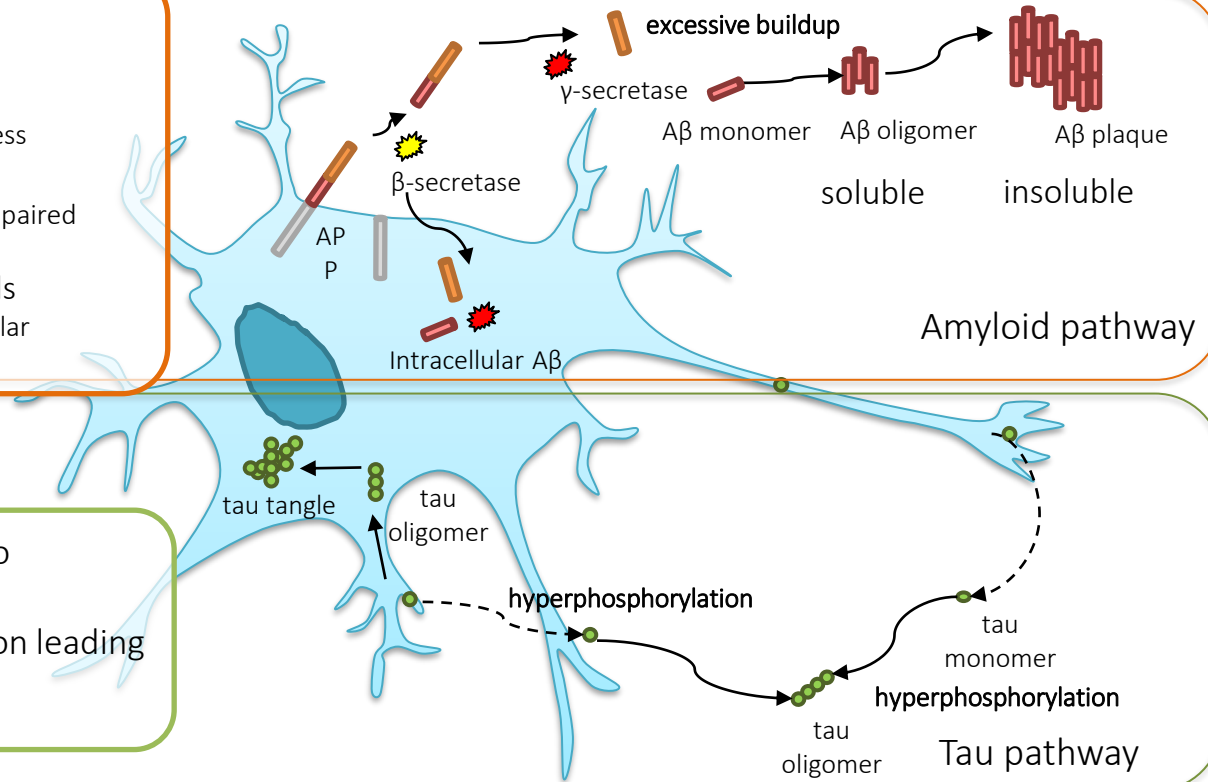


# Amyloid Hypothesis in Alzheimer's disease



## Soluble Ab is neurotoxic<sup>1</sup>

- Intracellular (apoptosis)
  - cytosolic: lysosome
  - mitochondria: oxidative stress
- Extracellular
  - synaptic dysfunction and impaired transmission
- Near and around blood vessels
  - Can't be cleared neurovascular dysfunction



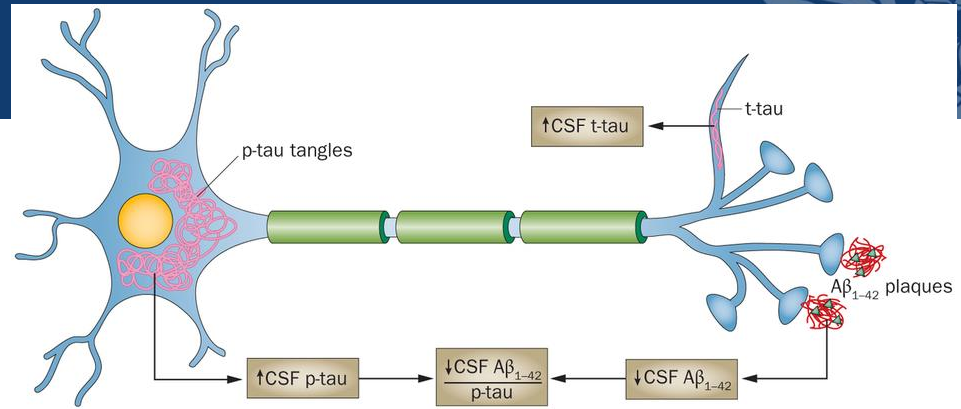
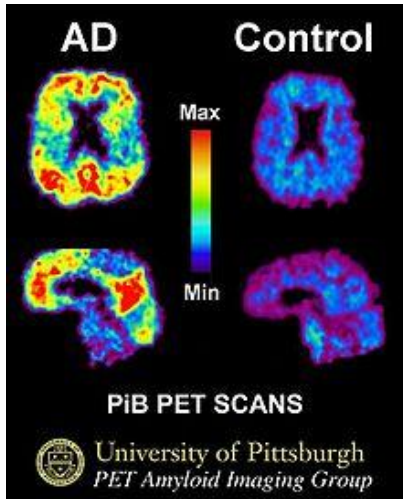
## Tau hyperphosphorylation lead to filamentation and tangles

- Disrupts microtubule function leading to eventual cell apoptosis<sup>2</sup>

1. Mucke and Selkoe 2012, Cold Spring Harb Perspect Med

2. Wang et al. 2013, J Alz Dis

# How is dementia diagnosed?



## Pathophysiological biomarkers

- “Hallmark” detection
- Cerebrospinal fluid assay
- Positron Emission Tomography

## Topographical biomarkers

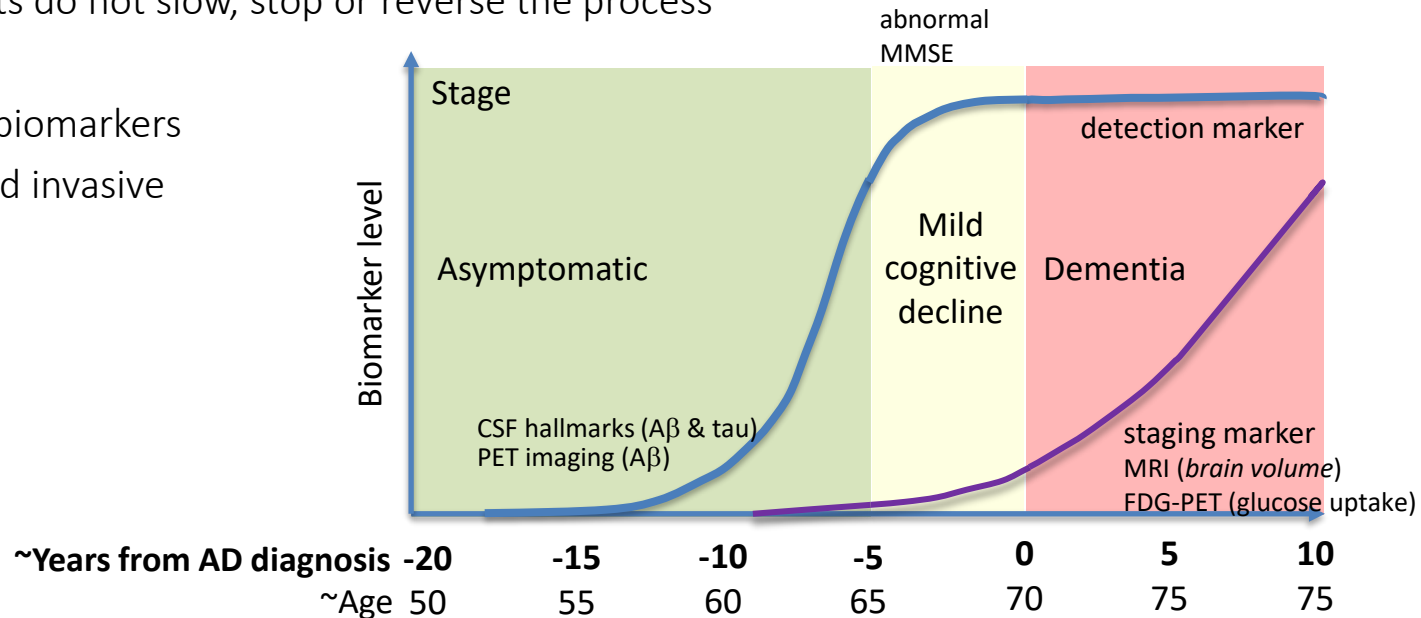
- Potential for staging
- Adjunct to diagnosis
- FDG-PET
- MRI

Nature Reviews | **Neurology**

# Alzheimer's Disease stages and diagnosis



- Stages
  - Preclinical, Mild Cognitive Impairment, Clinical<sup>1</sup>
- Average survival of 4.6 years from clinical diagnosis<sup>2</sup>
- Current treatments do not slow, stop or reverse the process
- Current hallmark biomarkers
  - Expensive and invasive



1. Frisoni et al., 2012, *Nat Rev Neurol*

2. WHO 2012, Table 2.1

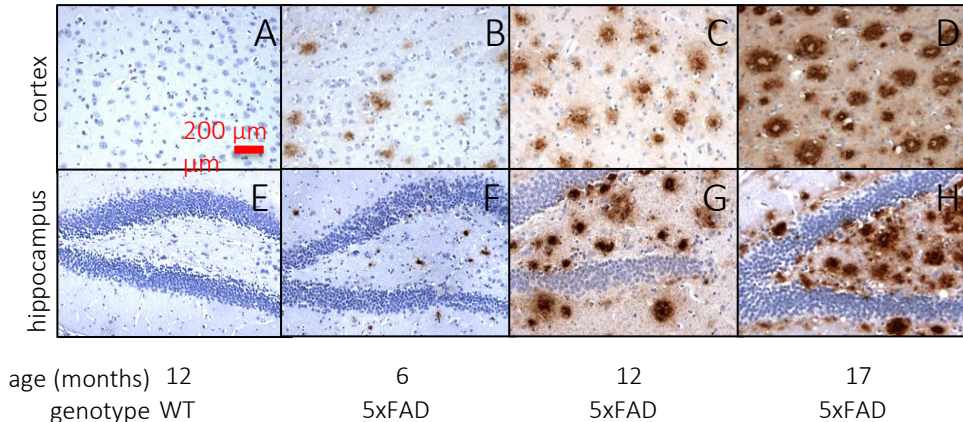
# Amyloid accumulations are in brains and eyes of mouse AD models



## Retinal Functional and Structural Changes in the 5xFAD Mouse Model of Alzheimer's Disease

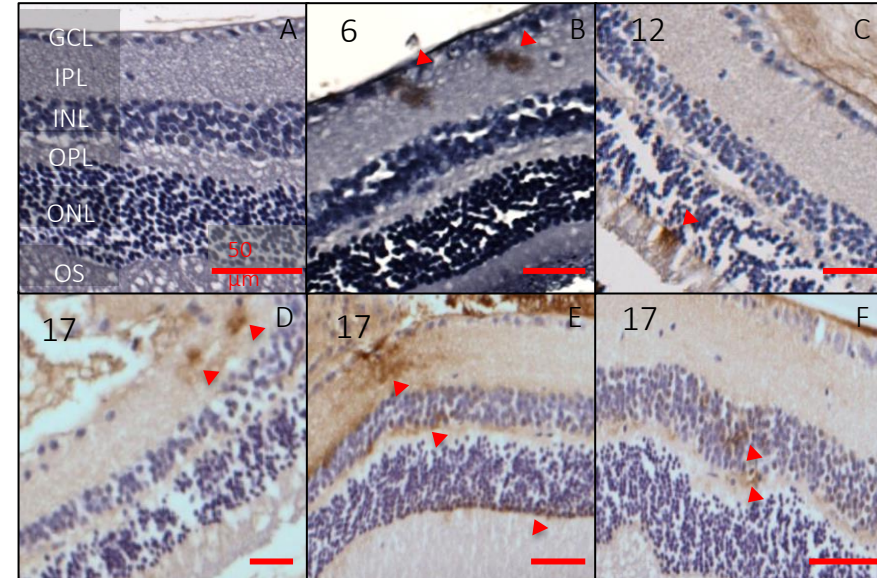
Jeremiah K. H. Lim<sup>1,2</sup>, Qiao-Xin Li<sup>3</sup>, Zheng He<sup>1</sup>, Algis J. Vingrys<sup>1</sup>, Holly R. Chinnery<sup>1</sup>, Jamie Mullen<sup>1</sup>, Bang V. Bui<sup>1</sup> and Christine T. O. Nguyen<sup>1\*</sup>

<sup>1</sup> Department of Optometry and Vision Sciences, University of Melbourne, Parkville, VIC, Australia, <sup>2</sup> Optometry and Vision Science, College of Nursing and Health Sciences, Flinders University, Bedford Park, SA, Australia, <sup>3</sup> Florey Institute of Neuroscience and Mental Health, Parkville, VIC, Australia, <sup>4</sup> AstraZeneca Neuroscience, Cambridge, MA, United States



Ab deposits increase in the brain with age...

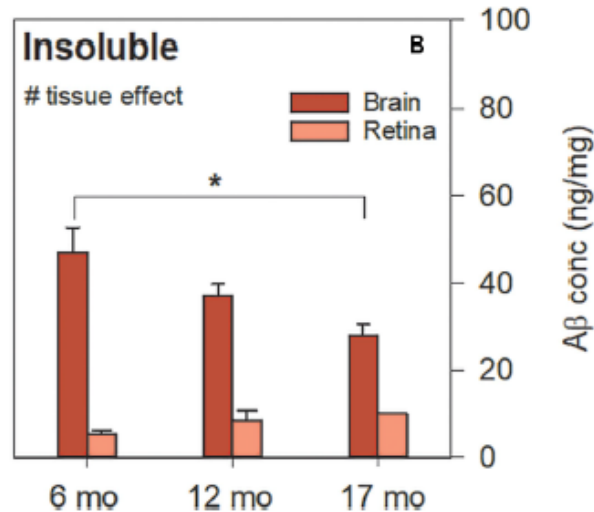
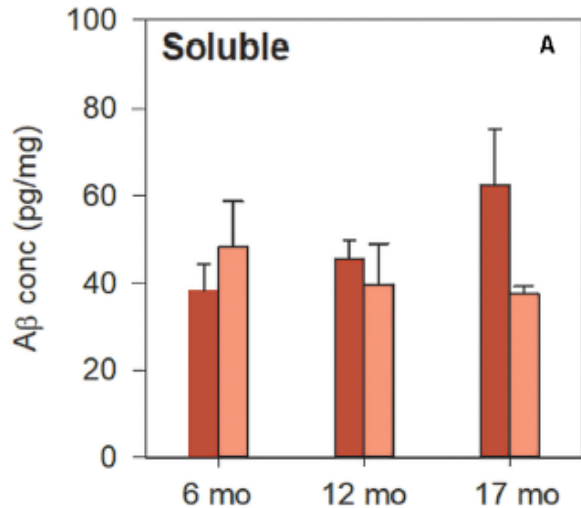
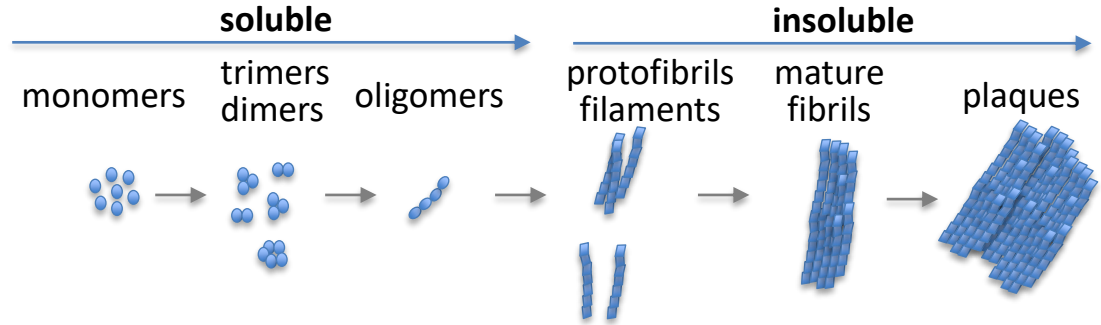
Ab deposits appear in the inner retina at all ages and in the outer retina at later stages > 12 months



# Both soluble and insoluble amyloid is found in the retina



- Soluble form thought to be more toxic



## Retinal Functional and Structural Changes in the 5xFAD Mouse Model of Alzheimer's Disease

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# Amyloid accumulations are in human retinae



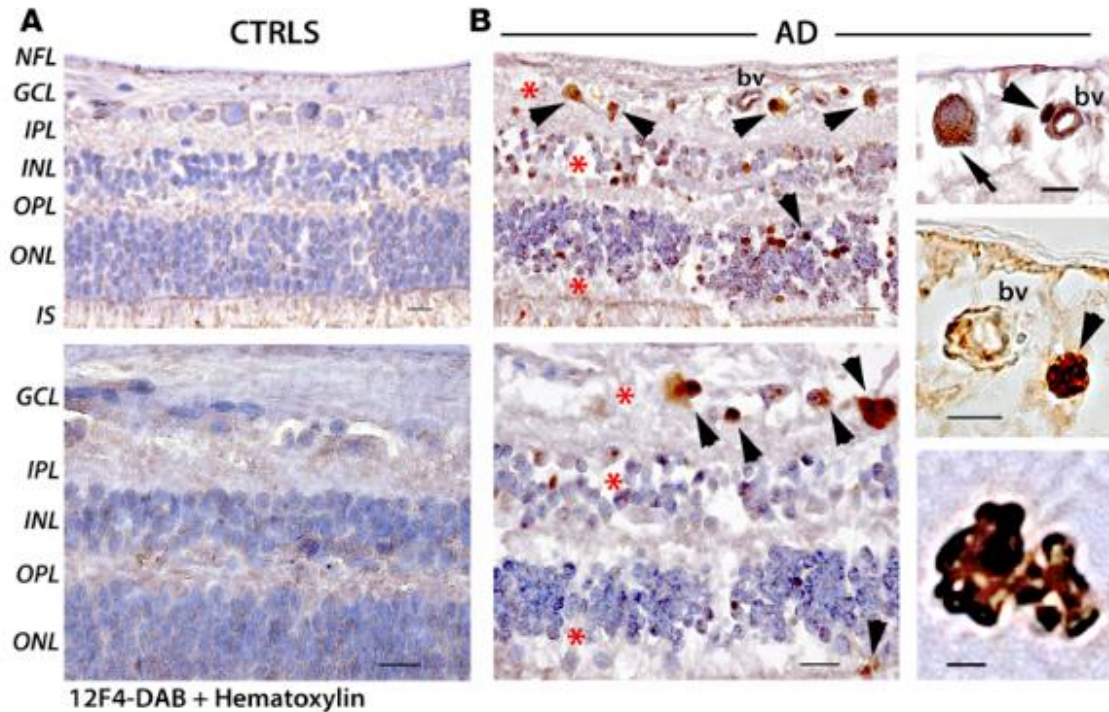
CLINICAL MEDICINE

- In cells and blood vessels
- Particularly ganglion cells

JCI INSIGHT

## Retinal amyloid pathology and proof-of-concept imaging trial in Alzheimer's disease

Yosef Koronyo,<sup>1</sup> David Biggs,<sup>2</sup> Ernesto Barron,<sup>3</sup> David S. Boyer,<sup>4</sup> Joel A. Pearlman,<sup>5</sup> William J. Au,<sup>6</sup> Shawn J. Kile,<sup>6</sup> Austin Blanco,<sup>2</sup> Dieu-Trang Fuchs,<sup>1</sup> Adeel Ashfaq,<sup>7</sup> Sally Frautschy,<sup>8</sup> Gregory M. Cole,<sup>9</sup> Carol A. Miller,<sup>9</sup> David R. Hinton,<sup>10</sup> Steven R. Verdooner,<sup>2</sup> Keith L. Black,<sup>1</sup> and Maya Koronyo-Hamaoui<sup>1,11</sup>

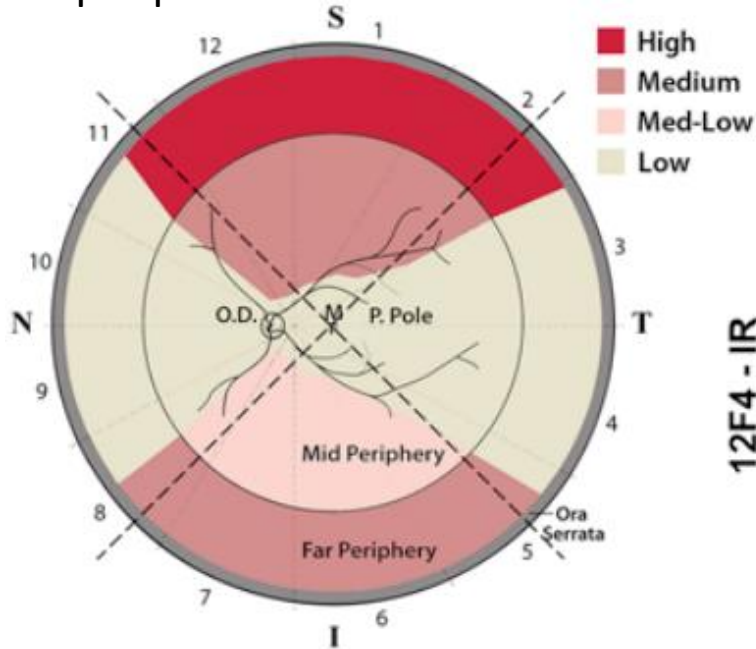


# Amyloid accumulations in human retinae

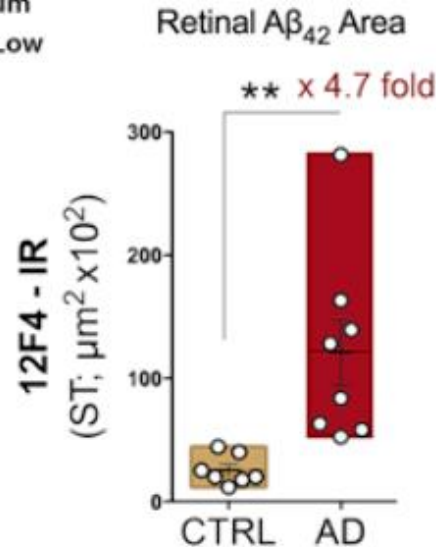
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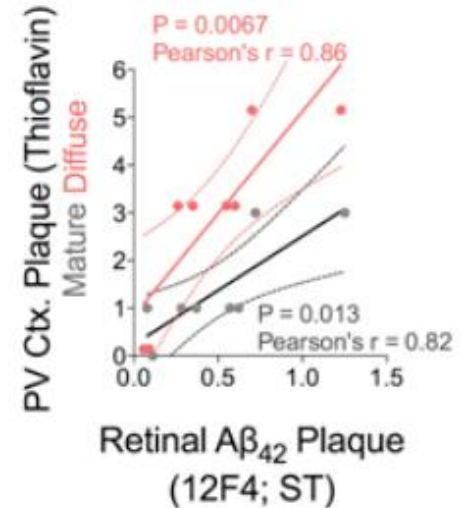
More often superior peripheral retina



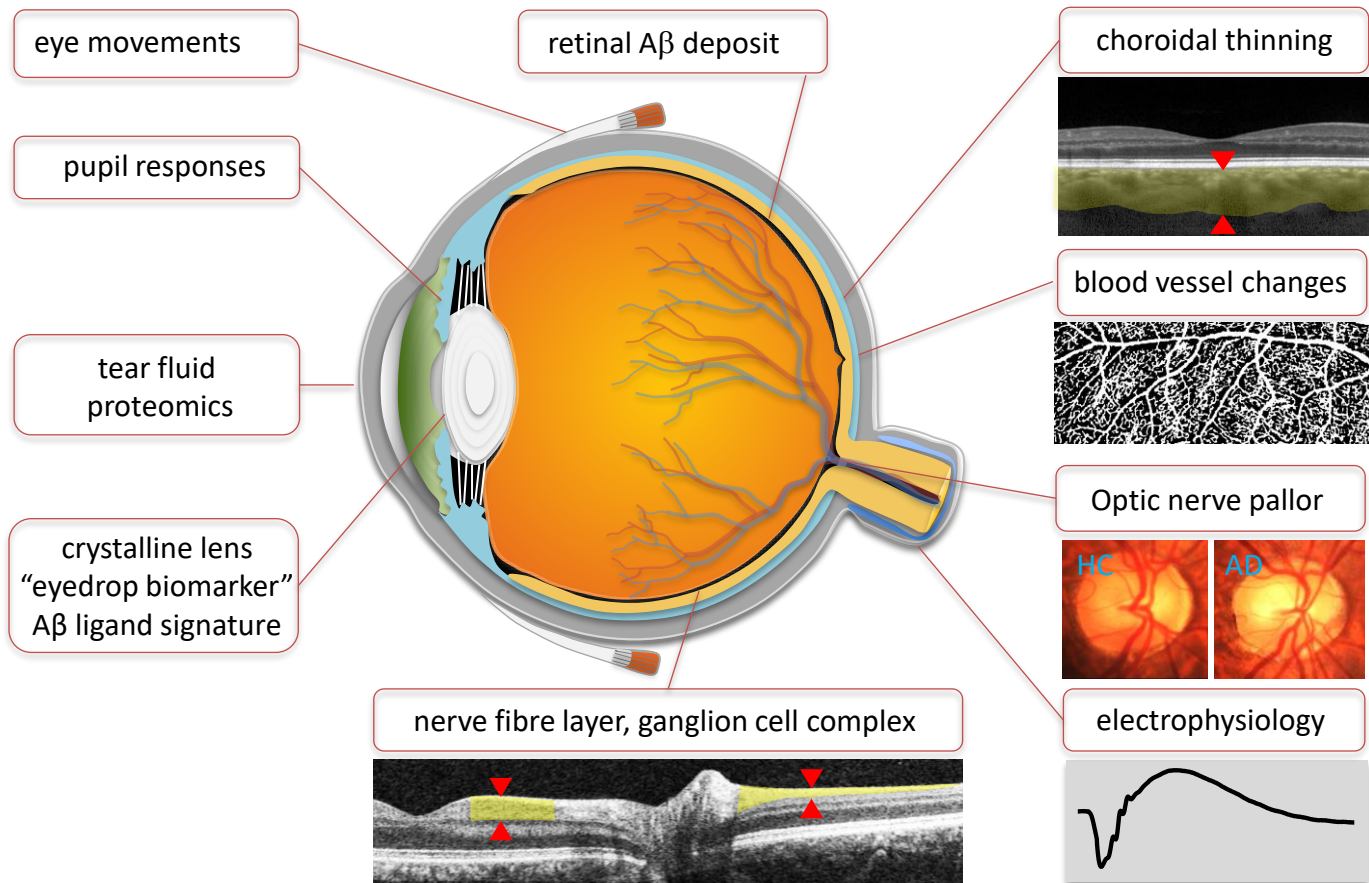
Higher amyloid in AD eyes



Retina amyloid related to brain amyloid levels



# How might this manifest in the eye?





# Structural and functional manifestations of AD in the visual system



- Increasingly long list of differences: but which are specific to AD?

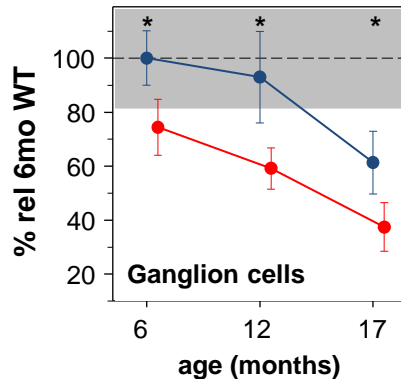
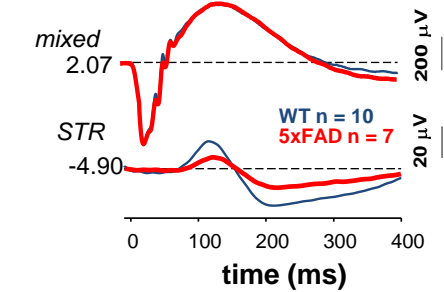
<b>Pupil</b>	Atypical pupil response to cholinergic antagonists
	Smaller maximum pupillary light reflex
	Increased pupillary size
<b>Lens</b>	A $\beta$ in lens and aqueous humor
	Predisposition to supranuclear cataract
<b>Retina</b>	Decreased retinal blood flow, RNFL thinning
	RGC degeneration
	Overall reduction in RGC axon numbers
	A $\beta$ deposition in retina
<b>Choroid</b>	Reduced choroidal thickness
<b>Optic nerve</b>	Increased cup: disk ratio and pallor

<b>Visual acuity</b>	Decreased visual acuity in low luminance
<b>Contrast sensitivity</b>	Reduced low frequencies contrast sensitivity
	Reduced reading speed at lower contrast
<b>Color vision</b>	Poor color discrimination
	Deficiencies most significant in tritan axis
<b>Visual field loss</b>	Inferior hemifield loss
<b>Motion perception</b>	Higher thresholds for motion detection
<b>Depth perception and stereopsis</b>	Reduced stereopsis, mean threshold >150 s of arc
<b>Ocular motor function</b>	Abnormal hypometric saccades

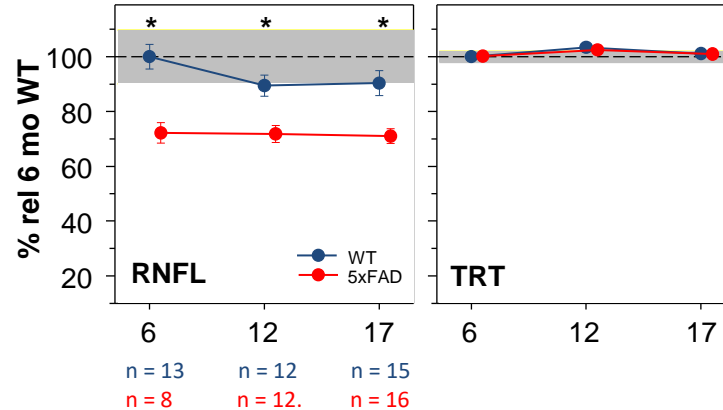
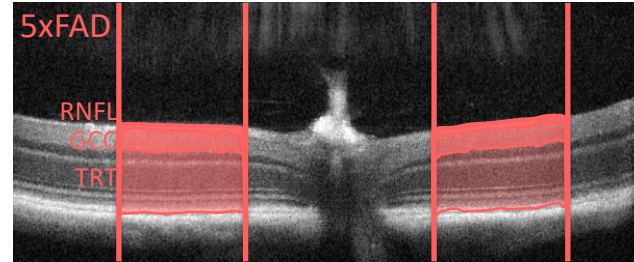
# In animals with retinal amyloid deposits



Ganglion cell responses  
are lost early



Retinal nerve fibre layer thins  
the most



Error bars: Avg  $\pm$  SEM  
Grey: 6mo 95% CI  
\*  $p < 0.05$

# RNFL findings in Alzheimer's disease



- More thinning in the superior
  - N=9 MMSE 24 (out of 30)
- Those with MCI also had thinning compared with controls
- Most studies consistently find RNFL thinning compared to age-matched controls
- More studies find superior and inferior thinning
  - less nasal and temporal change

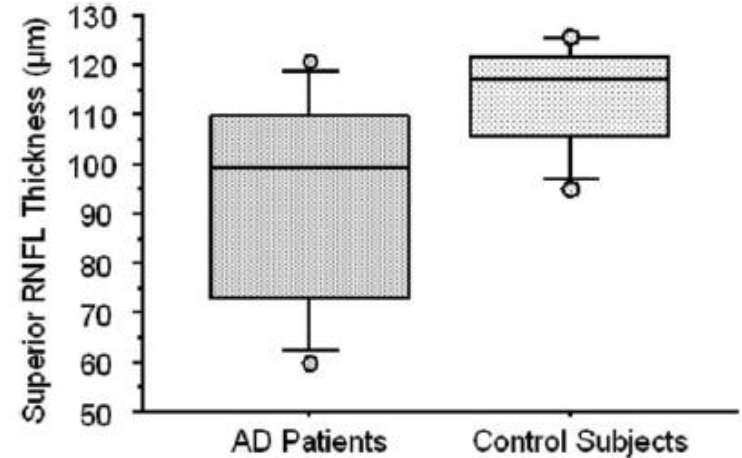


TABLE 3. Peripapillary RNFL Thickness Values in Patients with AD and Control Subjects

	AD (n = 9)	Control (n = 8)	P
Superior RNFL thickness (μm)	92.2 ± 21.6	113.6 ± 10.8	0.02*
Inferior RNFL thickness (μm)	117.0 ± 15.3	128.1 ± 11.4	0.11
Temporal RNFL thickness (μm)	67.0 ± 15.0	69.5 ± 11.1	0.70
Nasal RNFL thickness (μm)	65.7 ± 15.1	64.1 ± 7.3	0.80

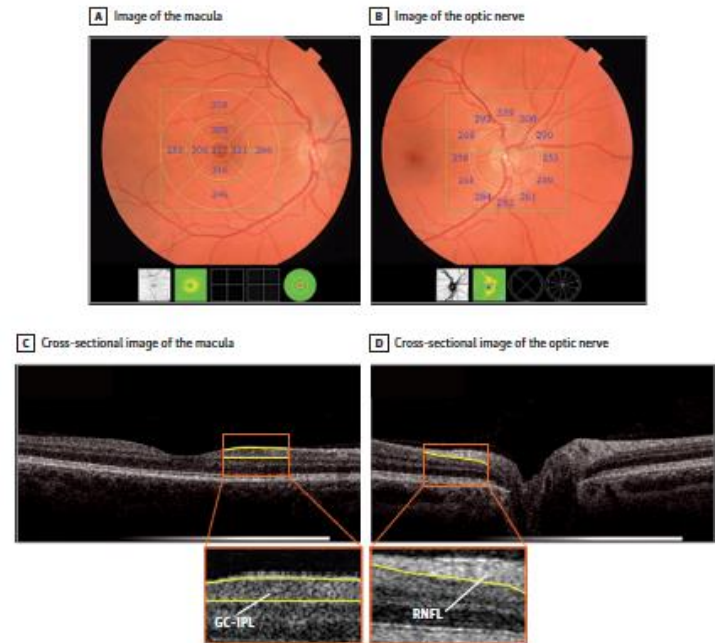
Data are presented as mean ± SD.

\*  $P < 0.05$ .

## JAMA Neurology | Original Investigation

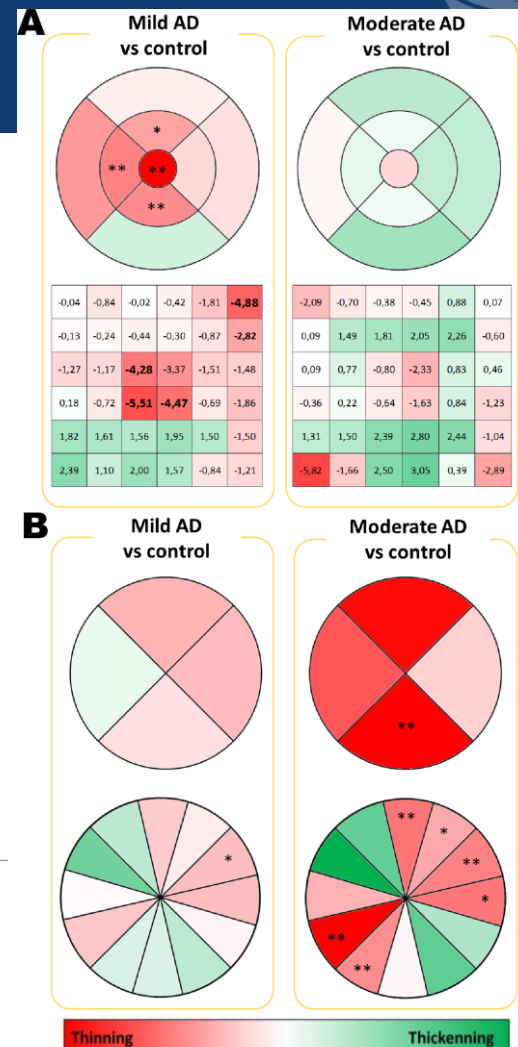
Unal Mutlu, MD, PhD; Johanna M. Colijn, MD; M. Arfan Ikram, MD, PhD; Pieter W. M. Bonnemaijer, MD; Silvan Licher, MD; Frank J. Wolters, MD; Henning Tiemeier, MD, PhD; Peter J. Koudstaal, MD, PhD; Caroline C. W. Klaver, MD, PhD; M. Kamran Ikram, MD, PhD

- Figure 2. Output of the Retinal Optical Coherence Tomography



# Macula thinning in Alzheimer's disease

- More variability in outcomes of studies assessing macular thinning
  - 13 out of 20 studies showed macular thinning
- Macular thinner in mild AD, but **thicker** in moderate AD
- Peripapillary RNFL show more thinning between mild and moderate AD



# OCTa difference in AD are inconsistent

## RESEARCH

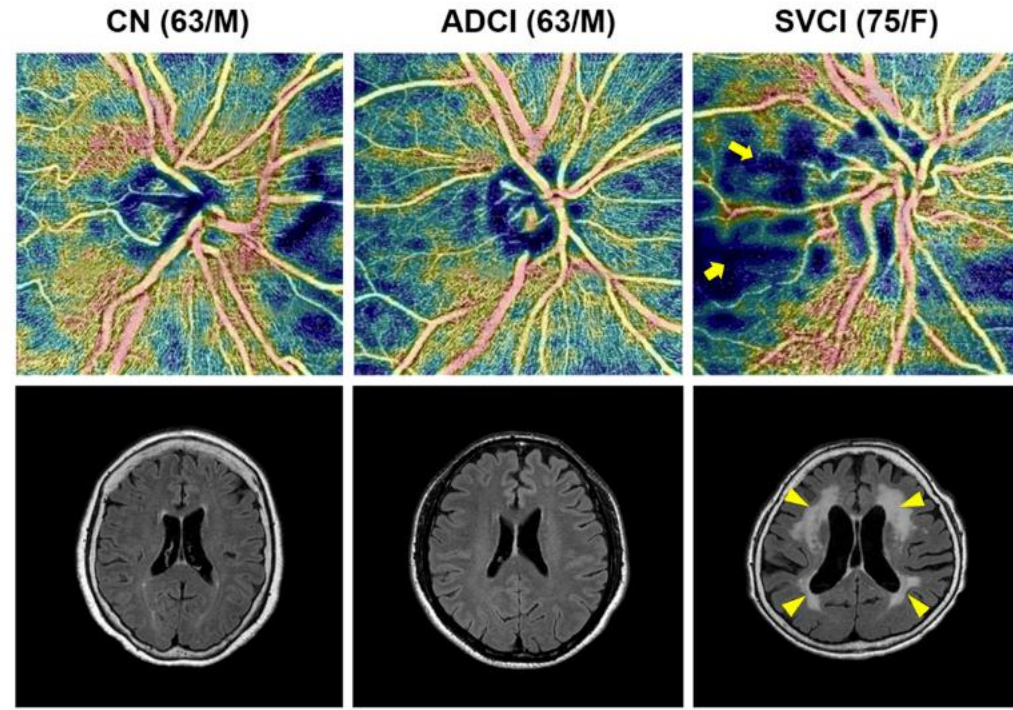
## Open Access



### Optical coherence tomography angiography as a potential screening tool for cerebral small vessel diseases

Ju-Yeun Lee<sup>1†</sup>, Jun Pyo Kim<sup>2,3,4†</sup>, Hyemin Jang<sup>2,3,4</sup>, Jaeho Kim<sup>2,3,4</sup>, Sung Hoon Kang<sup>2,3,4</sup>, Ji Sun Kim<sup>2,3,4</sup>, Jongmin Lee<sup>2,3,4</sup>, Young Hee Jung<sup>2,5</sup>, Duk L. Na<sup>2,3,4,6</sup>, Sang Won Seo<sup>2,3,4,6,7,8</sup>, Sei Yeul Oh<sup>9\*</sup> and Hee Jin Kim<sup>2,3,4,6,8\*</sup>

- Some studies find reduced macular vessel density, larger foveal avascular zone area
  - others do not
- Peripapillary capillary density is reduced in moderate AD
  - Less nerves need fewer vessels?
- And strongly associated with the presence of Subcortical vascular cognitive impairment
  - Generalized vascular problem?







- Studies in Mild cognitive impairment and AD lean toward lower vessel density
- In cognitively normal subjects (MMSE 29-30)
  - those with Ab load (PET imaging) had higher vessel density, FAZ was the same
  - Is this a sign of early inflammation?
    - Soluble amyloid...

**Table 2** Difference in vessel densities and foveal avascular zone area between amyloid-positive and amyloid-negative participants


	Aβ+ versus Aβ−			
	Difference	Raw p value	95% CI	Adjusted p value
Retinal vessel density				
Inner ring macula	0.81	<0.001	0.36 to 1.26	0.002
Outer ring macula	0.50	0.012	0.11 to 0.90	0.024
Around optic nerve head	0.83	0.005	0.25 to 1.42	0.015
Foveal avascular zone (mm <sup>2</sup> )	−0.02	0.387	−0.05 to 0.02	0.387

Clinical science



OPEN ACCESS

## Optical coherence tomography angiography in preclinical Alzheimer's disease

Jacoba Alida van de Kreeke <sup>1</sup>, Hoang-Ton Nguyen,<sup>1</sup> Elles Konijnenberg,<sup>2</sup> Jori Tomassen,<sup>2</sup> Anouk den Braber,<sup>2,3</sup> Mara ten Kate,<sup>2</sup> Maqsood Yaqub,<sup>4</sup> Bart van Berckel,<sup>4</sup> Adriaan A Lammertsma,<sup>4</sup> Dorret I Boomsma,<sup>3</sup> Stevie H Tan,<sup>1</sup> Frank Verbraak,<sup>1</sup> Pieter Jelle Visser<sup>2</sup>

# Choroidal thickness in AD

TABLE 3. Alzheimer Disease Patients Versus Controls: Changes in Best-corrected Visual Acuity and Psychometric Scores

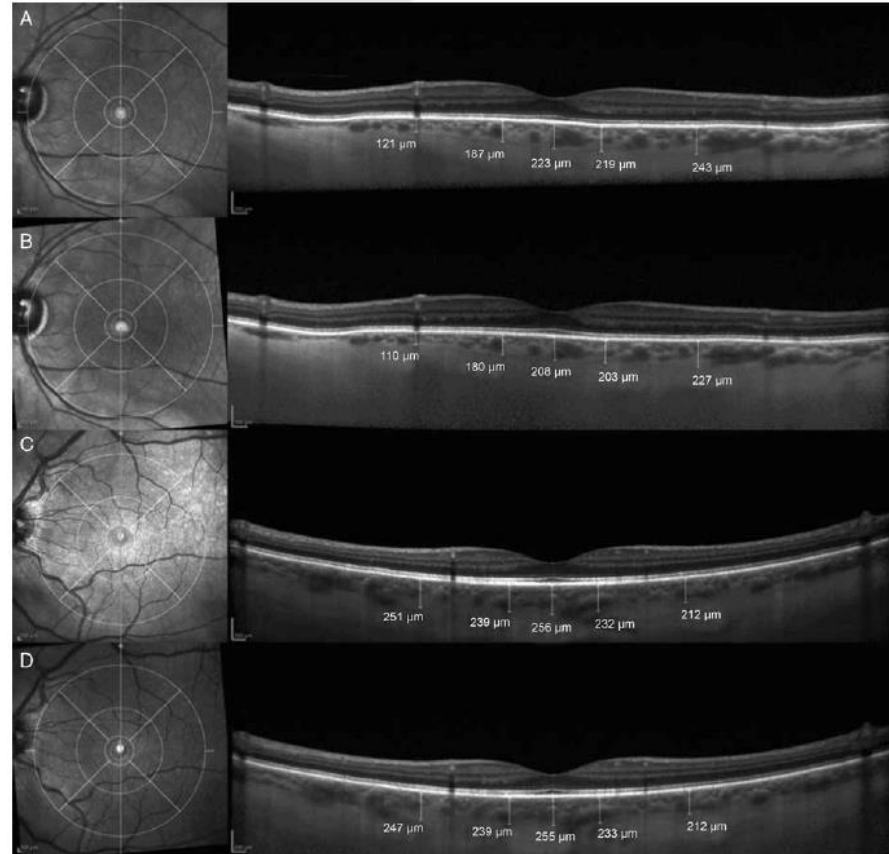
	AD Patients			Controls		
	T0	T12	P	T0	T12	P
BCVA (no. ETDRS letters)	54 ± 3.8	53 ± 3.5	0.01*	57 ± 4.1	57 ± 4.2	0.7*
BCVA change		-1.3 ± 2.3			0.4 ± 2.1	
MMSE	22.5 ± 2.1	16.8 ± 2.8	< 0.0001‡	28.6 ± 1.4	28.3 ± 1.2	0.5‡

- Progression in MMSE over the course of 12 months associated with a reduction in choroidal thickness
- In mild-moderate AD
  - Why is not well understood

## ORIGINAL ARTICLE

### Attenuation of Choroidal Thickness in Patients With Alzheimer Disease *Evidence From an Italian Prospective Study*

Alessandro Trebbastoni, MD, PhD,\* Michela Marcelli, MD,†  
Fabiana Mallone, MD,† Fabrizia D'Antonio, MD,\* Letizia Imbriano, BSc,\*  
Alessandra Campanelli, MD,\* Carlo de Lena, MD,\*  
and Magda Gharbiya, MD†



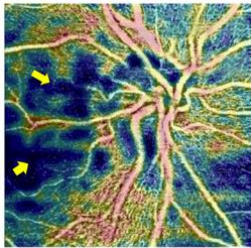
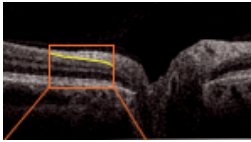


# Early detection of AD using OCT



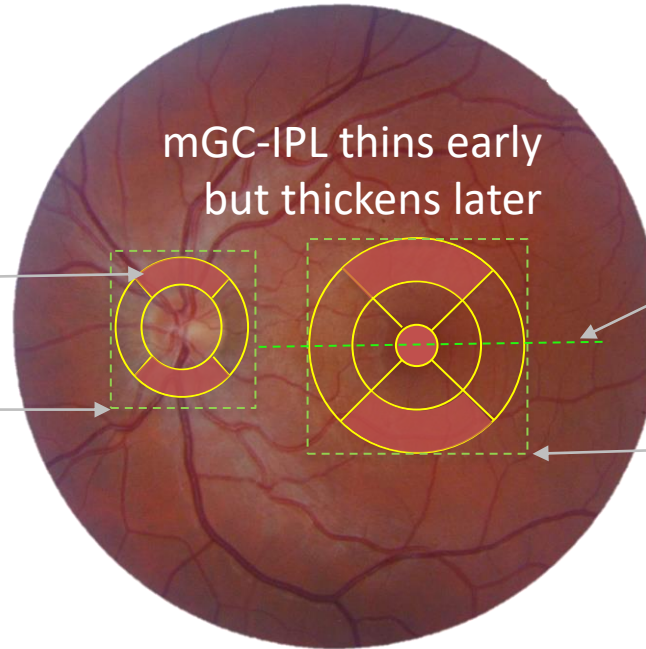
- Early detection... pRNFL thinning, mGC-IPL thickening with increase vessel density

Peripapillary RNFL  
thins in MCI and AD

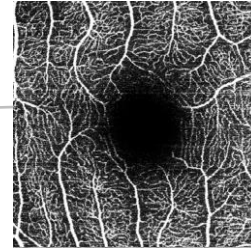
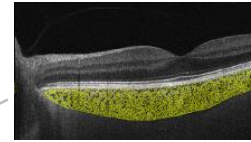


Peripapillary Vessel density  
more strongly associated  
with vascular dementia

mGC-IPL thins early  
but thickens later



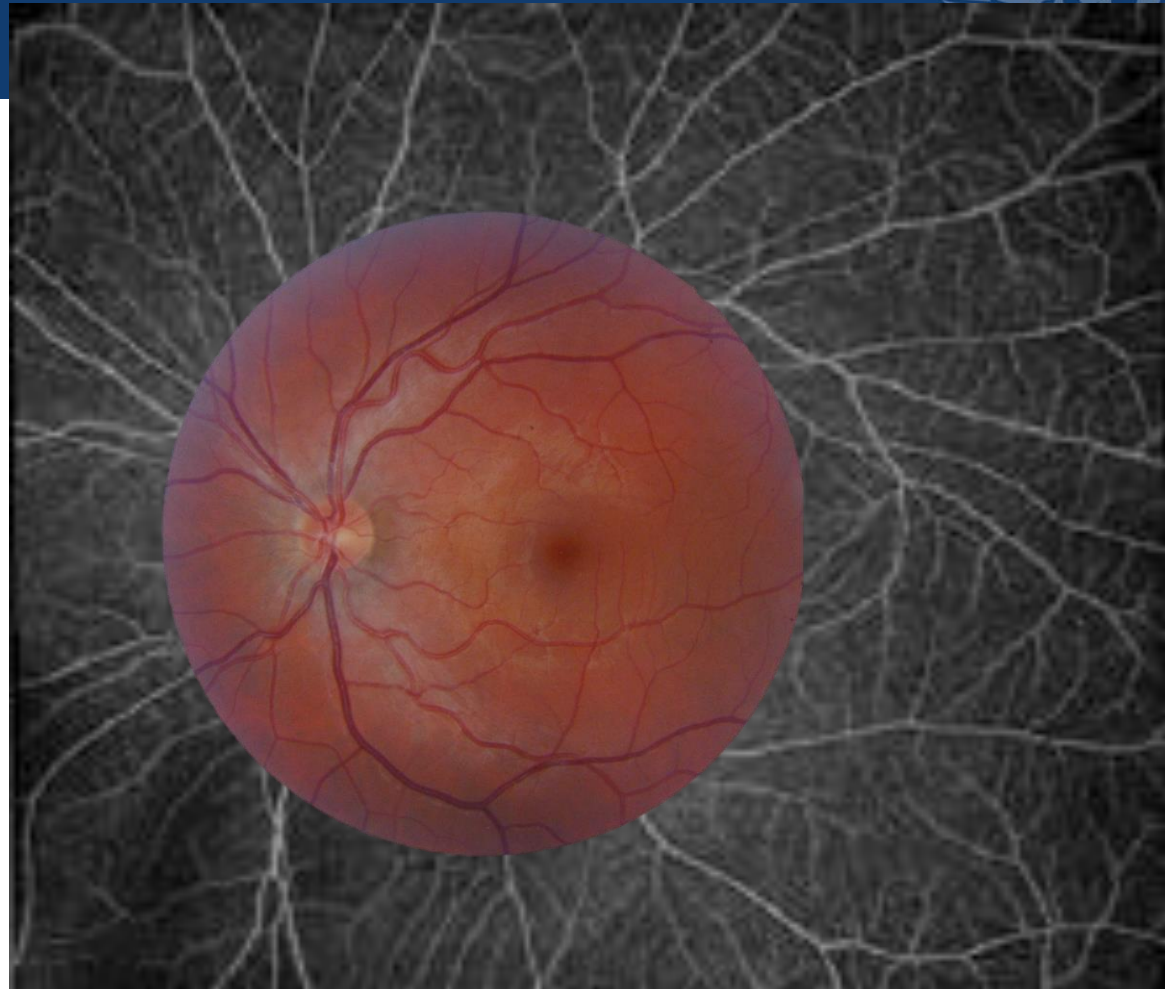
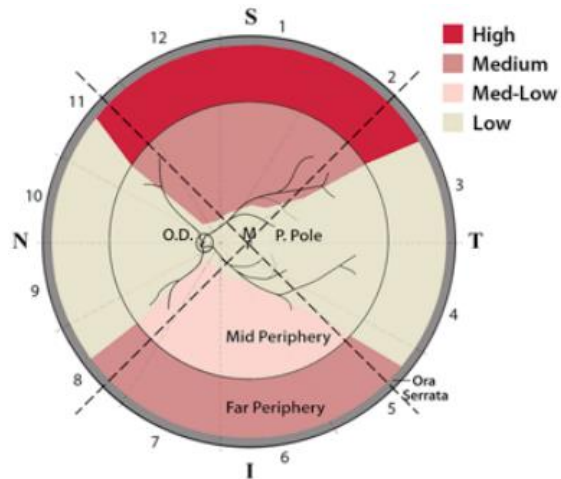
Choroid thins with  
AD progression



Macular Vessel density  
higher density in preclinical  
lower in MCI and AD

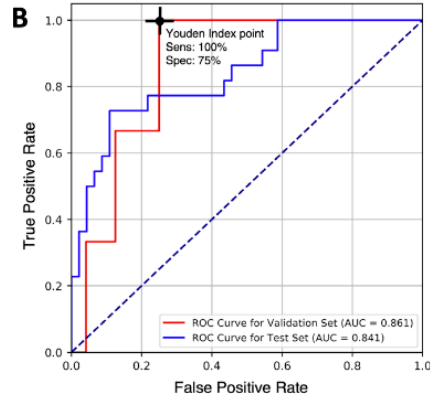
# A more complete picture

- Widefield mapping
  - OCT and OCTa montages



# A more complete picture

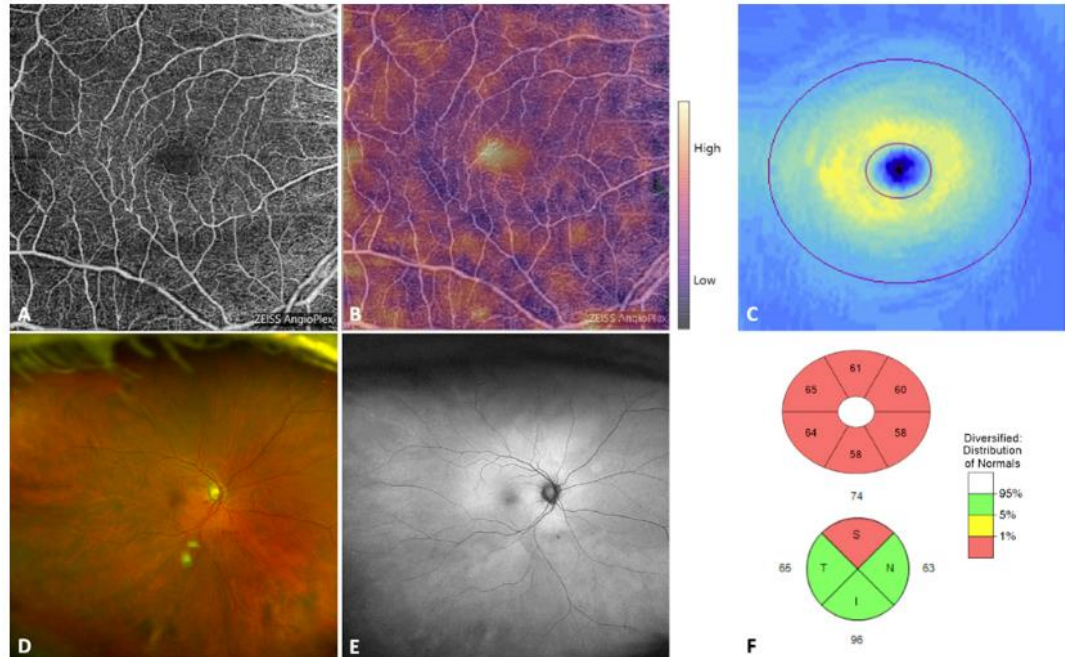
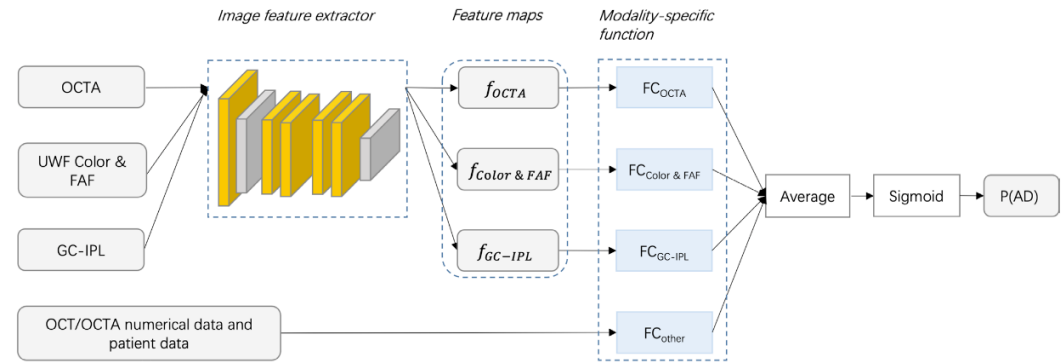
- Machine learning assisted
  - Combine OCT with other biomarkers, other imaging modalities



Clinical science

Convolutional neural network to identify symptomatic Alzheimer's disease using multimodal retinal imaging

C. Ellis Wisely<sup>1</sup>, Dong Wang,<sup>2</sup> Ricardo Henao,<sup>3</sup> Dilraj S. Grewal,<sup>1</sup> Atalie C. Thompson,<sup>1</sup> Cason B. Robbins,<sup>1</sup> Stephen P. Yoon,<sup>1</sup> Srinath Soundararajan,<sup>1</sup> Bryce W. Polascik,<sup>1</sup> James R. Burke,<sup>4</sup> Andy Liu,<sup>4</sup> Lawrence Carin,<sup>2</sup> Sharon Fekrat<sup>1</sup>



# Other approaches: contrast agent

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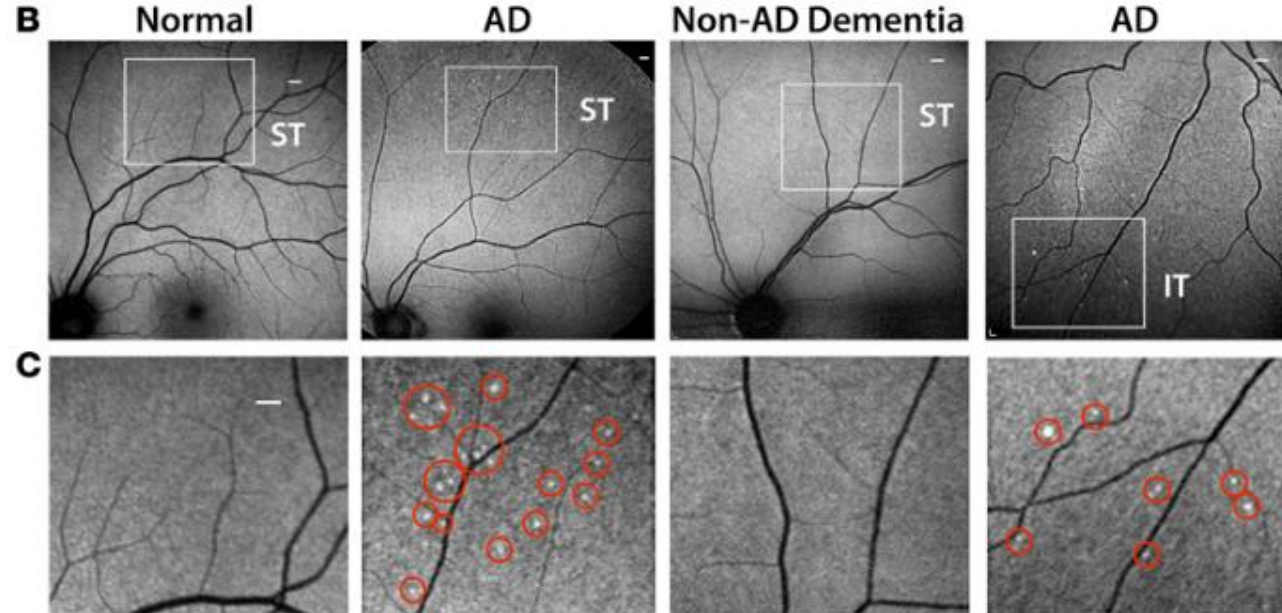
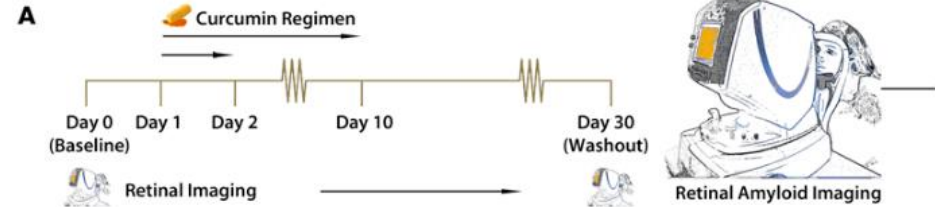
CLINICAL MEDICINE

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- Oral curcumin as a contrast agent

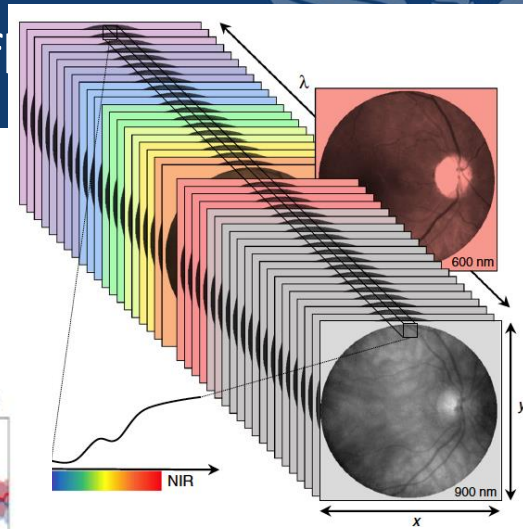
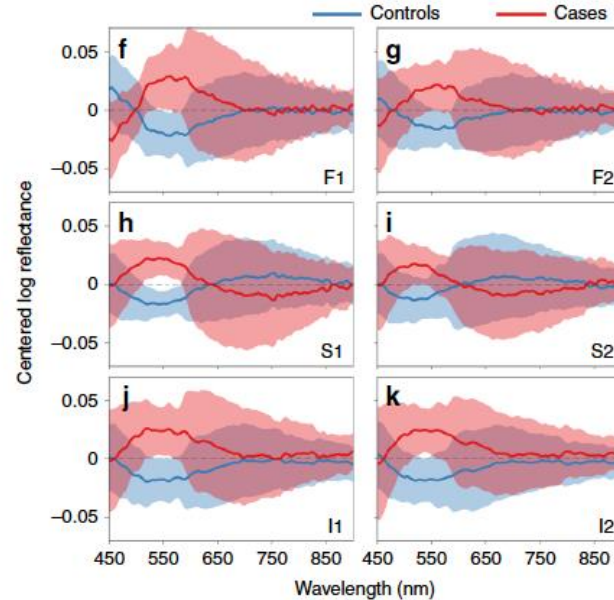
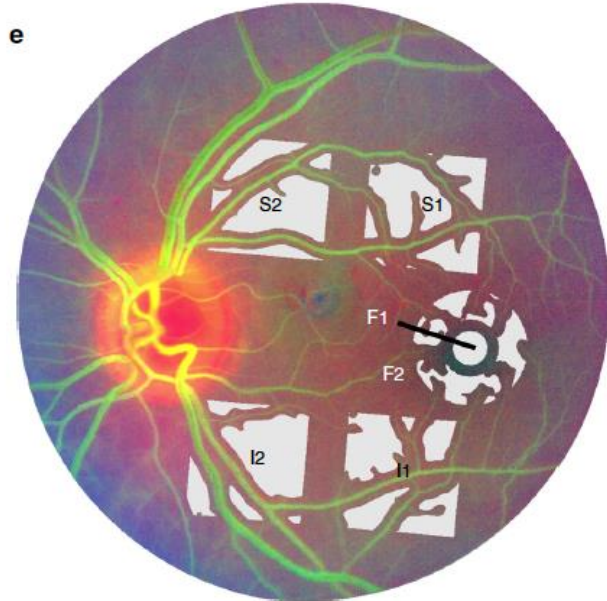
JCI INSIGHT





## Other approaches: amyloid accumulating changes ref

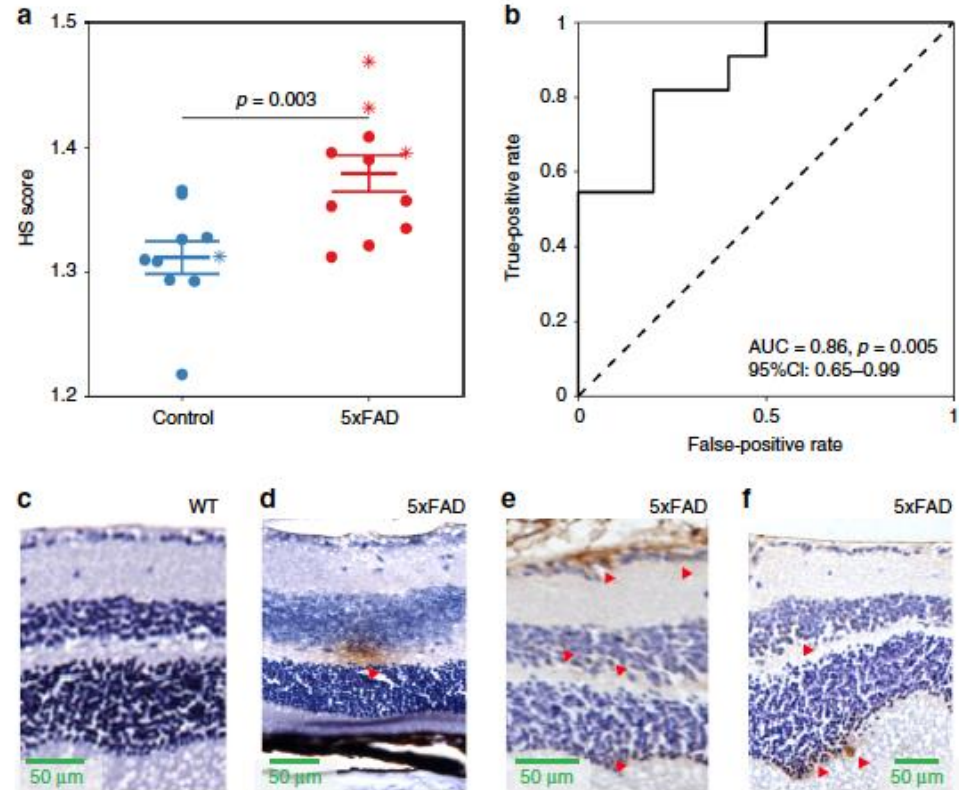
- Hyperspectral imaging



# Hyperspectral imaging in a mouse model of AD



- Identifies difference between control and mice with amyloid accumulation



## ARTICLE

<https://doi.org/10.1038/s41467-019-12242-1>

OPEN

Non-invasive in vivo hyperspectral imaging of the retina for potential biomarker use in Alzheimer's disease

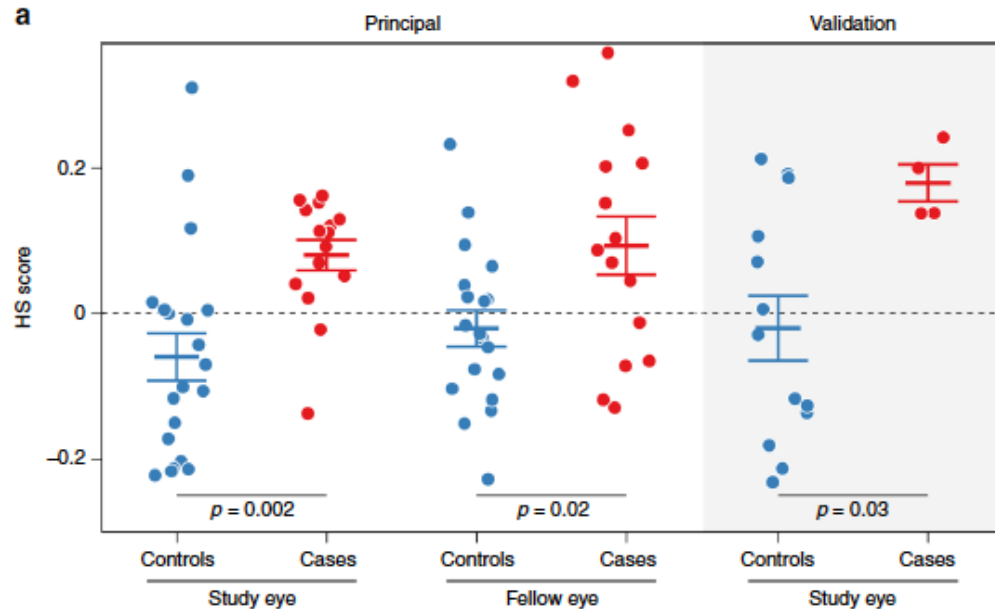
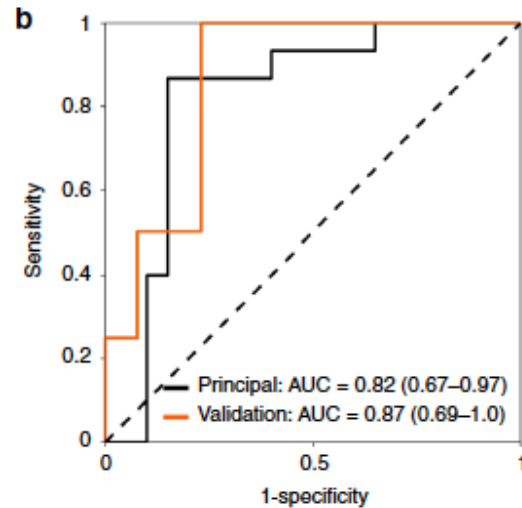
Xavier Hadoux et al.<sup>#</sup>



# Hyperspectral imaging in human AD



- PET positive
- MMSE 23



# Summary



- Retina manifests both soluble and insoluble amyloid beta and tau
- This produces a range of structural and functional deficit
- A growing number of studies, albeit with small sample sizes are identifying distinct retinal changes in specific locations that differentiate Alzheimer's disease risk from other conditions
- Utility of advanced OCT and OCTa imaging places eyecare and optometry in a position to help fight the growing AD epidemic



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